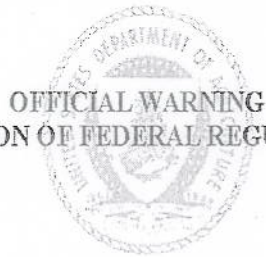


UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE



OFFICIAL WARNING  
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:

VIOLATOR:

Utah Artificial Heart Inst.  
87-R-0020 / 1831

ADDRESS (Street, City, State, ZIP Code):

803 North 300 West, Suite 180  
Salt Lake City, UT 84106

The U.S. Department of Agriculture has evidence that on or about December 15, 2012, you or your organization committed violations of the following section of the Code of Federal Regulations, Title 9:

**2.36(a) Annual Report.....failure to submit a 2012 Annual Report for Research facilities**

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Robert M. Gibbens, Director

OFFICE ADDRESS:

2150 Centre Ave., Building B  
Fort Collins, CO 80526

SIGNATURE

[Redacted Signature]

DATE ISSUED:

Jan.29, 2013

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7011 2970 0003 1302 4013